



03-21-02

2161

PATENT  
17243-00038

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sean Daly

Serial No.: 09/779,711

Filed: February 8, 2001

For: METHODS AND SYSTEMS FOR  
FUNDING PURCHASE  
TRANSACTIONS

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: Art Unit: 2161  
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: Examiner:  
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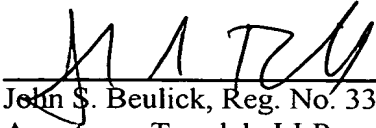
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I certify that documents listed below:

- Amendment Transmittal (3 pages, in duplicate)
- Preliminary Amendment (2 pages)
- Request for Approval of Drawing Changes (1 page), including a marked-up copy of Sheet 3, Figure 3 (1 sheet)
- Transmittal of Formal Drawings (1 page), including a revised Formal Drawing of Sheet 3, Figure 3 (1 sheet)
- Certificate of Mailing By Express Mail
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are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

  
\_\_\_\_\_  
John S. Beulick, Reg. No. 33,338  
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One Metropolitan Square, Suite 2600  
St. Louis, MO 63102  
314-621-5070

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Group No.: 2161

Examiner:

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Commissioner for Patents  
Washington, D.C. 20231

### TRANSMITTAL

1. Transmitted herewith is a:
  - Preliminary Amendment
  - Request for Approval of Drawing Changes, including a marked-up copy of Sheet 3, Figure 3
  - Transmittal of Formal Drawings, including a revised Formal Drawing of Sheet 3, Figure 3
  - Certificate of Mailing By Express Mail
  - Return Post Card

### STATUS

2. Applicant

  X   claims small entity status.  
       is other than a small entity.

**FEE FOR CLAIMS**

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT		(Col. 2) HIGHEST NO. PREVIOUSLY PAID FOR	(Col. 3) PRESENT EXTRA	SMALL ENTITY ADDITIONAL RATE FEE	OR	OTHER THAN SMALL ENTITY ADDITIONAL RATE FEE
TOTAL	27	MINUS	27	=0	x \$9 = \$		x \$18 = \$0
INDEP.	6	MINUS	6	=0	x \$42 = \$		x \$84 = \$0
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ \$130 = \$		+ \$280 = \$
					TOTAL ADDITIONAL FEE \$	OR	TOTAL ADDITIONAL FEE \$0

- (a)
- X
- No additional fee for Claims is required

**OR**

- (b)
- 
- Total additional fee for claims required \$
- 

**FEE PAYMENT**


5.            Attached is a check in the sum of \$
- ✓   Charge Deposit Account No. 01-2384 the sum of \$0.00.  
A duplicate of this transmittal is attached.

**FEE DEFICIENCY**

- 6.
- ✓
- If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.

**AND/OR**

- ✓   If any additional fee for claims is required, charge Deposit Account No. 01-2384.
7.            Other:

  
 John S. Beulick  
 Reg. No. 33,338

 ARMSTRONG TEASDALE LLP  
 One Metropolitan Square, Suite 2600  
 St. Louis, MO 63102  
 314/621-5070

## EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

- (a) \_\_\_\_\_ Applicant petitions for an extension of time under 37 C.F.R. 1.136  
(Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

Extension for response within:	Other than small entity Fee	Small entity Fee (if applicable)
_____ first month	\$ 110.00	\$ 55.00
_____ second month	\$ 400.00	\$ 200.00
_____ third month	\$ 920.00	\$ 460.00
_____ fourth month	\$1,440.00	\$ 720.00
_____ fifth month	\$1,960.00	\$ 980.00

Fee: \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

*(Check and complete the next item, if applicable)*

\_\_\_\_\_ An extension of \_\_\_\_\_ months has already been secured. The fee paid therefor \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_.

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.